

School of Mathematical Sciences

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Caesar Cipher



- **Affine Ciphers**
- **Block Ciphers**
- Cryptosystems



Caesar Cipher

Julius Caesar created secret messages by shifting each letter three letters forward in the alphabet (sending the last three letters to the first three letters). For example, B is replaced by E and X is replaced by A. This process of making a message secret is an example of encryption.



Caesar Cipher 0000000

#### Here is how the encryption process works:

- Replace each letter by an integer from **Z**<sub>26</sub>, that is an integer from 0 to 25 representing one less than its position in the alphabet.
- The encryption function is  $f(p) = (p+3) \pmod{26}$ . It replaces each integer p in the set  $\{0, 1, 2, \dots, 25\}$  by f(p) in the set  $\{0, 1, 2, \dots, 25\}$ .
- Replace each integer  $\rho$  by the letter with the position  $\rho + 1$ in the alphabet.



Caesar Cipher

# Example

Encrypt the message "MEET YOU IN THE PARK" using the Caesar cipher.



# Solution

12 4 4 19 24 14 20 8 13 19 74 15 0 17 10

Now replace each of these numbers p by  $f(p) = (p+3) \pmod{26}$ .

15 7 7 22 1 17 23 11 16 22 10 7 18 3 20 13

Translating the numbers back to letters produces the encrypted message "PHHW BRX LQ WKH SDUN.





Caesar Cipher

• To recover the original message, use  $f^{-1}(p) = (p-3)$  (mod 26). So, each letter in the coded message is shifted back to three letters in the alphabet, with the first three letters sent to the last three letters. This process of recovering the original message from the encrypted message is called decryption.



> • The Caesar cipher is one of a family of ciphers called shift ciphers. Letters can be shifted by an integer k, with 3 being just one possibility. The encryption function is

$$f(p) = (p+k) \pmod{26}$$

and the decryption function is

$$f^{-1}(p) = (p - k) \pmod{26}$$

The integer k is called a key.





- **3** Affine Ciphers
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# Example

Encrypt the message "STOP GLOBAL WARMING" using the shift cipher with k = 11.



### Solution

Replace each letter with the corresponding element of **Z**<sub>26</sub>.

18 19 14 15 6 11 14 1 0 11

Apply the shift  $f(p) = (p + 11) \pmod{26}$ , yielding

3 4 25 0 17 22 25 12 11 22 7 11 2 23 19 24 17

Translating the numbers back to letters produces the ciphertext DEZA RWZMLW HLCXTYR."



# Example

Decrypt the message "LEWLYPLUJL PZ H NYLHA ALHJOLY" that was encrypted using the shift cipher with k = 7.



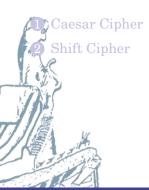
#### Solution

Replace each letter with the corresponding element of **Z**<sub>26</sub>. 11 4 22 11 24 15 11 20 9 1 15 25 7 13 24 11 7 0 0 11 7 9 14 11 24

Shift each of the numbers by  $-k = -7 \, modulo \, 26$ , yielding  $4 \, 23 \, 15 \, 4 \, 17 \, 8 \, 4 \, 13 \, 2 \, 4 \, 8 \, 18 \, 0 \, 6 \, 17 \, 4 \, 0 \, 19 \, 19 \, 4 \, 0 \, 2 \, 7 \, 4 \, 17$ Translating the numbers back to letters produces the decrypted message "EXPERIENCE IS A GREAT TEACHER."







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# **Affine Ciphers**

• Shift ciphers are a special case of affine ciphers which use functions of the form  $f(p) = (ap + b) \pmod{26}$ , where a and b are integers, chosen so that f is a bijection. The function is a bijection if and only if gcd(a, 26) = 1.



### Affine Ciphers

Shift ciphers are a special case of affine ciphers which use functions of the form  $f(p) = (ap + b) \pmod{26}$ , where a and b are integers, chosen so that f is a bijection. The function is a bijection if and only if acd(a, 26) = 1.

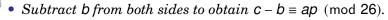
#### Example

What letter replaces the letter K when the function f(p) = (7p + 3) (mod 26) is used for encryption.



#### Solution

Since 10 represents K,  $f(10) = (7 \cdot 10 + 3) \pmod{26} = 21$ , which is then replaced by V. To decrypt a message encrypted by a shift cipher, the congruence  $c \equiv ap + b \pmod{26}$  needs to be solved for



- Multiply both sides by the inverse of a modulo 26, which exists since gcd(a, 26) = 1.
- $\overline{a}(c-b) \equiv \overline{a}ap \pmod{26}$ , which simplifies to  $\overline{a}(c-b) \equiv p \pmod{26}$ .
- $p = \overline{a}(c b) \pmod{26}$  is used to determine p in  $\mathbb{Z}_{26}$ .





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- A simple type of block cipher is called the transposition cipher. The key is a permutation  $\sigma$  of the set  $\{1, 2, \dots, m\}$ , where *m* is an integer, that is a one-to-one function from  $\{1, 2, \ldots, m\}$  to itself.
- To encrypt a message, split the letters into blocks of size m, adding additional letters to fill out the final block. We encrypt  $p_1, p_2, \ldots, p_m$  as

$$c_1, c_2, \ldots, c_m = p_{\sigma(1)}, p_{\sigma(2)}, \ldots, p_{\sigma(m)}$$

To decrypt the  $c_1, c_2, \ldots, c_m$  transpose the letters using the inverse permutation  $\sigma^{-1}$ 



#### **Block Ciphers**

#### Example

Using the transposition cipher based on the permutation  $\sigma$  of the set $\{1, 2, 3, 4\}$  with  $\sigma(1) = 3$ ,  $\sigma(2) = 1$ ,  $\sigma(3) = 4$ ,  $\sigma(4) = 2$ ,

- a. Encrypt the plaintext PIRATE ATTACK
- b. Decrypt the ciphertext message SWUE TRAEOEHS, which was encrypted using the same cipher.



#### **Block Ciphers**

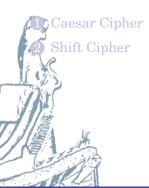
# Solution

a. Split into four blocks PIRA TEAT TACK. Apply the permutation σ giving IAPR ETTA AKTC

$$\sigma^{-1}(1) = 2$$
,  $\sigma^{-1}(2) = 4$ ,  $\sigma^{-1}(3) = 1$ ,  $\sigma^{-1}(4) = 3$ .

Apply the permutation  $\sigma^{-1}$  giving USEW ATER HOSE. Split into words to obtain USE WATER HOSE.





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# Definition

A cryptosystem is a five-tuple (P,C,K,E,D), where

- P is the set of plaintext strings,
- C is the set of ciphertext strings,
  - K is the keyspace (set of all possible keys),
  - E is the set of encryption functions, and
- D is the set of decryption functions.



• The encryption function in E corresponding to the key k is denoted by  $E_k$  and the description function in D that decrypts cipher text encrypted using  $E_k$  is denoted by  $D_k$ . Therefore:

 $D_k(E_k(p)) = p$ , for all plaintext strings p.



### Example

Describe the family of shift ciphers as a cryptosystem.





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Describe the family of shift ciphers as a cryptosystem.

#### Solution

Assume the messages are strings consisting of elements in  $\mathbf{Z}_{26}$ .

- P is the set of strings of elements in **Z**<sub>26</sub>.
- C is the set of strings of elements in  $\mathbf{Z}_{26}$ .

$$K = \mathbf{Z}_{26}$$
,

- E consists of functions of the form  $E_k(p) = (p k) \mod 26$ , and
- D is the same as E where  $D_k(p) = (p k) \mod 26$ .

